



**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(d))--NONPROFIT ORGANIZATION**

Docket Number (Optional)  
**HS 102 CIP (2)**

Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin, Nina E. King, Soheila J. Maleki, Cathie Connaughton,  
Applicant, Patentee, or Identifier: Randall A. Kopper, Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Application or Patent No.: 09/494,096

Filed or Issued: January 28, 2000

Title: METHODS AND REAGENTS FOR DECREASING  
CLINICAL REACTION TO ALLERGY

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION The Board of Trustees of the University of Arkansas

ADDRESS OF NONPROFIT ORGANIZATION 2404 North University Avenue

Little Rock, Arkansas 72207-3608

**TYPE OF NONPROFIT ORGANIZATION:**

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_ )  
(CITATION OF STATUTE \_\_\_\_\_ )

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))  
IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED  
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_ )  
(CITATION OF STATUTE \_\_\_\_\_ )

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.  
☒ each such person, concern, or organization is listed below.

Mount Sinai School of Medicine of New York University, One Gustave L. Levy Place, New York, NY 10029-6574;  
Panacea Pharmaceuticals, LLC, 640 Sasco Hill Road, Fairfield, Connecticut 06430

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING \_\_\_\_\_

Mr. Harold J. Evans

TITLE IN ORGANIZATION OF PERSON SIGNING \_\_\_\_\_

Assoc. V.P. For Legal Affairs

ADDRESS OF PERSON SIGNING \_\_\_\_\_

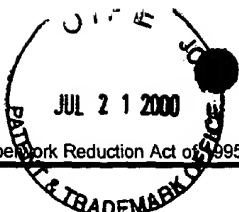
University of Arkansas

2404 North University Avenue

SIGNATURE [Signature]

Little Rock, AR 72207

DATE April 17, 2000



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Gary A. Bannon, et al.

Application No./Patent No.: 09/494,096 Filed/Issue Date: January 28, 2000

Entitled: METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY

The Board of Trustees of the University of Arkansas, a University,  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. ☐ the assignee of the entire right, title, and interest; or
- 2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

- 1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- 2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- 3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

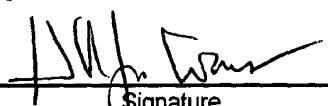
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

April 17, 2000  
Date

  
Signature

— Mr. Harold J. Evans  
Assoc. V.P. For Legal Affairs  
University of Arkansas

\_\_\_\_\_  
Title

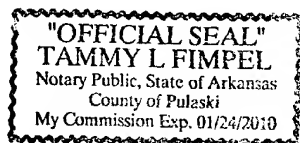
## ASSIGNMENT

We, Gary A. Bannon of 714 St. Michael Place, Little Rock, Arkansas 72211, A. Wesley Burks, Jr. of 2400 North Pierce, Little Rock, Arkansas 72207, Nina E. King of 7127 Oak Post Lane #100, Cincinnati, Ohio 45241, Soheila J. Maleki of 612 Parkway Place, Little Rock, Arkansas 72211, Cathie Connaughton of 505 West Ridge Drive, Conway, Arkansas 72032, Randall A. Kopper of 367 Old Military Road, Conway, Arkansas 72032, Patrick A. Rabjohn of 11524 Hickory Hill Road, Little Rock, Arkansas 72211, David S. Shin, 10677 Granby Way, San Diego, California 92037, and Cesar M. Compadre of 7215 Gable Street, Little Rock, Arkansas 72205, in consideration of ten dollars and other valuable consideration paid to us by The Board of Trustees of the University of Arkansas, a public institution of higher education of the State of Arkansas, having its principal place of business at 2404 North University Avenue, Little Rock, Arkansas 72207-3608, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said The Board of Trustees of the University of Arkansas, its successors and assigns, an undivided part interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in *"Methods and Reagents for Decreasing Clinical Reaction to Allergy"* described in U.S. Serial No. 09/494,096 filed in the United States Patent and Trademark Office on January 28, 2000, by Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin, Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper, Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre, which claims priority to International Application No. PCT/US99/02031 filed February 29, 1999, and which claims priority to U.S. Provisional Application Serial Nos. (1) 60/074,590 filed February 13, 1998; (2) 60/074,624 filed February 13, 1998; (3) 60/074,633 filed February 13, 1998; (4) 60/073,283 filed January 31, 1998 (via U.S.S.N. 09/240,557, filed January 29, 1999; U.S.S.N. 09/241,101 filed January 29, 1999; and U.S.S.N. 09/248,673 filed February 11, 1999); and (5) 60/122,566 filed March 2, 1999; and which claims priority to U.S. Application Serial Nos. (1) 09/141,220 filed August 27, 1998; (2) 09/240,557 filed January 29, 1999; (3) 09/241,101 filed January 29, 1999; (4) 09/248,673 filed February 11, 1999; (5) 09/267,719 filed March 11, 1999; and (6) 09/248,674 filed February 11, 1999, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said institution and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said institution, with specifications and claims in such form as shall be approved by the counsel of said institution, and to vest and confirm in said institution, its successors and assigns, the legal title to all such patents.

Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said institution, its successors and assigns.

WITNESS my hand and seal this 21 day of March, 2000.



Gary A. Bannon  
Gary A. Bannon

State of Arkansas )  
County of Pulaski )

Then personally appeared the above named Gary A. Bannon and acknowledged the foregoing instrument to be his free act and deed, before me this 21 day of March, 2000.

Tammy L. Fimpel  
Notary Public

My Commission expires: 01/24/2010

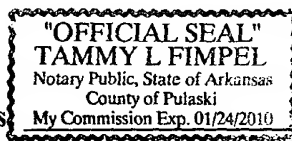
Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

WITNESS my hand and seal this 20<sup>th</sup> day of March, 2000.

A. Wesley Burks, Jr.  
A. Wesley Burks, Jr.

State of Arkansas )  
County of Pulaski )

Then personally appeared the above named A. Wesley Burks, Jr. and acknowledged the foregoing instrument to be his free act and deed, before me this 20 day of March, 2000.



Tammy L. Fimpel  
Notary Public

My Commission expires

Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

WITNESS my hand and seal this 17th day of March, 2000.

Nina King  
Nina E. King

State of Ohio )  
County of Hamilton )

Then personally appeared the above named Nina E. King and acknowledged the foregoing instrument to be her free act and deed, before me this 17th day of March, 2000.

Kathryn N. Triplett  
Notary Public

My Commission expires: August 30, 2004

KATHRYN N. TRIPLETT  
Notary Public, State of Ohio  
My Commission Expires Aug. 30, 2004

Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

WITNESS my hand and seal this 29 day of March, 2000.

Soheila J. Maleki

Soheila J. Maleki

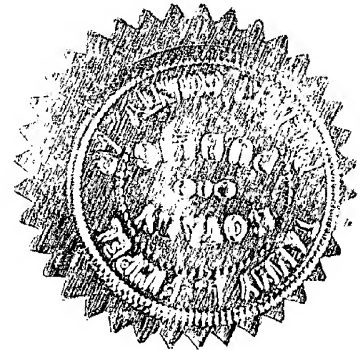
State of Arkansas )  
County of Pulaski )

Then personally appeared the above named Soheila J. Maleki and acknowledged  
the foregoing instrument to be her free act and deed, before me this 29 day of  
March, 2000.

"OFFICIAL SEAL"  
TAMMY L. FIMPEL  
Notary Public, State of Arkansas  
County of Pulaski  
My Commission Exp. 01/24/2010

Tammy L. Fimpel  
Notary Public

My Commission expires: \_\_\_\_\_



Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

WITNESS my hand and seal this 27th day of March, 2000.

Cathie Connaughton

Cathie Connaughton

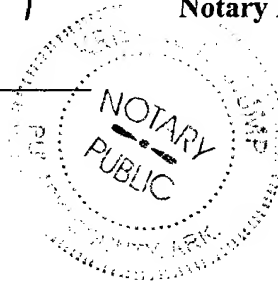
State of Arkansas )

County of Pulaski )

Then personally appeared the above named Cathie Connaughton and acknowledged the foregoing instrument to be her free act and deed, before me this 27th day of March, 2000.

Kristie Shump  
Notary Public

My Commission expires: 8-7-2000





Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

WITNESS my hand and seal this 17<sup>th</sup> day of April, 2000.

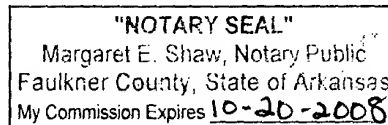
Randall A. Kopper  
Randall A. Kopper

State of Arkansas )  
County of Faulkner

Then personally appeared the above named Randall A. Kopper and acknowledged  
the foregoing instrument to be his free act and deed, before me this 17<sup>th</sup> day of  
April, 2000.

Margaret E. Shaw  
Notary Public

My Commission expires: 10-20-2008



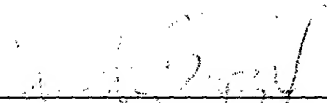
Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

WITNESS my hand and seal this 20 day of March, 2000.

  
\_\_\_\_\_  
Patrick A. Rabjohn

State of Arkansas )  
County of Pulaski )

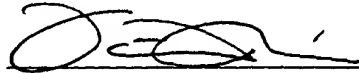
Then personally appeared the above named Patrick A. Rabjohn and acknowledged  
the foregoing instrument to be his free act and deed, before me this 28th day of  
March, 2000.

  
\_\_\_\_\_  
Notary Public

My Commission expires: 1/6/2008

Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

WITNESS my hand and seal this 27 day of March, 2000.



David S. Shin

State of California )  
County of San Diego )

Then personally appeared the above named David S. Shin and acknowledged the foregoing instrument to be his free act and deed, before me this 27<sup>th</sup> day of March, 2000.



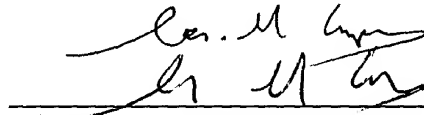
Notary Public

My Commission expires: 5-17-00



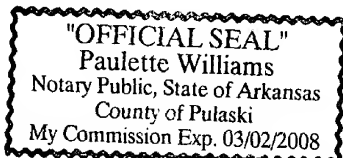
Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

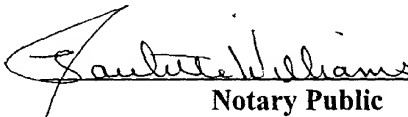
24 March  
WITNESS my hand and seal this 24 day of March, 2000.

  
Cesar M. Compadre

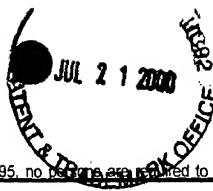
State of Arkansas )  
County of Pulaski )

Then personally appeared the above named Cesar M. Compadre and acknowledged  
the foregoing instrument to be his free act and deed, before me this 24th day of  
March, 2000.



  
Notary Public

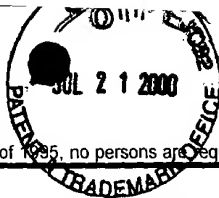
My Commission expires: 3/02/2008



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<b>STATEMENT CLAIMING SMALL ENTITY STATUS</b> <b>(37 CFR 1.9(f) &amp; 1.27(d))--NONPROFIT ORGANIZATION</b>		Docket Number (Optional) <b>HS 102 CIP (2)</b>
Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin, Nina E. King, Soheila J. Maleki, Cathie Connaughton, Applicant, Patentee, or Identifier: <u>Randall A. Kopper, Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre</u>		
Application or Patent No.: <u>09/494,096</u>		
Filed or Issued: <u>January 28, 2000</u>		
Title: <u>METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY</u>		
I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below: NAME OF NONPROFIT ORGANIZATION <u>Mount Sinai School of Medicine of New York University</u> ADDRESS OF NONPROFIT ORGANIZATION <u>One Gustave L. Levy Place</u> <u>New York, New York 10029-6574</u>		
TYPE OF NONPROFIT ORGANIZATION: <input checked="" type="checkbox"/> UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION <input type="checkbox"/> TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) <input type="checkbox"/> NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA (NAME OF STATE _____) (CITATION OF STATUTE _____) <input type="checkbox"/> WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA <input type="checkbox"/> WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA (NAME OF STATE _____) (CITATION OF STATUTE _____)		
I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in: <input type="checkbox"/> the specification filed herewith with title as listed above. <input checked="" type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.		
I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).		
Each person, concern, or organization having any rights in the invention is listed below: <input type="checkbox"/> no such person, concern, or organization exists. <input checked="" type="checkbox"/> each such person, concern, or organization is listed below. The Board of Trustees of the University of Arkansas, 2404 North University Avenue, Little Rock, AR 72207-3608 Panacea Pharmaceuticals, LLC, 640 Sasco Hill Road, Fairfield, Connecticut 06430 I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))		
NAME OF PERSON SIGNING <u>W. Patrick McGrath, Ph.D.</u>		
TITLE IN ORGANIZATION OF PERSON SIGNING <u>Director, Office of Industrial Liaison</u>		
ADDRESS OF PERSON SIGNING <u>1 Gustave L. Levy Place, Box 1675, New York, 10029</u>		
SIGNATURE <u>W. Patrick McGrath</u> DATE <u>June 7, 2000</u>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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### STATEMENT UNDER 37 CFR 3.73(b)

Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin, Nina E. King, Soheila J. Maleki, Cathie Connaughton,  
Applicant/Patent Owner: Randall A. Kopper, Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Application No./Patent No.: 09/494,096 Filed/Issue Date: January 28, 2000

Entitled: METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY

Mount Sinai School of Medicine of New York University, a Corporation,  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

**NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

June 7, 2000  
Date

  
Signature

W. Patrick McGrath, Ph.D.

Typed or printed name

Director, Office of Industrial Liaison

Title

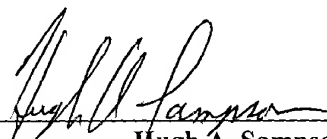
## ASSIGNMENT

I, Hugh A. Sampson of 19 Carleon Avenue, Larchmont, New York 10538, in consideration of ten dollars and other valuable consideration paid to me by Mount Sinai School of Medicine of New York University, a corporation of the State of New York, having its principal place of business at One Gustave L. Levy Place, New York, New York 10029-6574, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Mount Sinai School of Medicine of New York University, its successors and assigns, an undivided part interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "*Methods and Reagents for Decreasing Clinical Reaction to Allergy*" described in U.S. Serial No. 09/494,096 filed in the United States Patent and Trademark Office on January 28, 2000, by Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin, Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper, Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre, which claims priority to International Application No. PCT/US99/02031 filed February 29, 1999, and which claims priority to U.S. Provisional Application Serial Nos. (1) 60/074,590 filed February 13, 1998; (2) 60/074,624 filed February 13, 1998; (3) 60/074,633 filed February 13, 1998; (4) 60/073,283 filed January 31, 1998 (via U.S.S.N. 09/240,557, filed January 29, 1999; U.S.S.N. 09/241,101 filed January 29, 1999; and U.S.S.N. 09/248,673 filed February 11, 1999); and (5) 60/122,566 filed March 2, 1999; and which claims priority to U.S. Application Serial Nos. (1) 09/141,220 filed August 27, 1998; (2) 09/240,557 filed January 29, 1999; (3) 09/241,101 filed January 29, 1999; (4) 09/248,673 filed February 11, 1999; (5) 09/267,719 filed March 11, 1999; and (6) 09/248,674 filed February 11, 1999, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And I agree, for myself and my executor and administrator, with said corporation and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation, and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

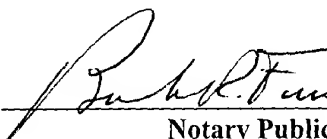
And I do hereby authorize and request the Commissioner of Patents and  
Trademarks of the United States to issue such Letters Patent as shall be granted upon said  
application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 7<sup>TH</sup> day of JUNE, 2000.

  
\_\_\_\_\_  
Hugh A. Sampson

State of New York )  
County of New York )

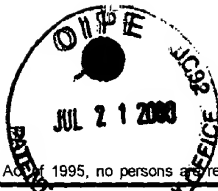
Then personally appeared the above named Hugh A. Sampson and acknowledged  
the foregoing instrument to be his free act and deed, before me this 7<sup>TH</sup> day of  
JUNE, 2000.

  
\_\_\_\_\_  
Notary Public

My Commission expires: 2/5/02

BARBARA R. FUSS  
Notary Public, State of New York  
No. 4961839  
Qualified in Westchester County  
Commission Expires 2/5/02





Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)  
**HS 102 CIP (2)**

Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin, Nina E. King, Soheila J. Maleki, Cathie Connaughton,

Applicant, Patentee, or Identifier: Randall A. Kopper, Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Application or Patent No.: 09/494,096

Filed or Issued: January 28, 2000

Title: METHODS AND REAGENTS FOR DECREASING  
CLINICAL REACTION TO ALLERGY

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Panacea Pharmaceuticals, LLC

ADDRESS OF SMALL BUSINESS CONCERN 640 Sasco Hill Road  
Fairfield, Connecticut 06430

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:  
☐ no such person, concern, or organization exists. The Board of Trustees of the University of Arkansas.

- ☒ each such person, concern, or organization is listed below. 2404 North University Avenue, Little Rock, AR 72207-3608, & Mount Sinai School of Medicine of New York University, One Gustave L. Levy Place, New York, New York 10029-6574

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING W B E Howard Sosin

TITLE OF PERSON IF OTHER THAN OWNER \_\_\_\_\_

ADDRESS OF PERSON SIGNING 640 Sasco Hill Road, Fairfield, CT 06430

SIGNATURE W B E DATE July 10, 2000



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Gary A. Bannon, et al.

Application No./Patent No.: 09/494,096 Filed/Issue Date: January 28, 2000

Entitled: METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY

Panacea Pharmaceuticals, LLC, a Limited Liability Company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

**NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

July 10, 2000

Date

Signature

Howard B. Sosin

Typed or printed name

Owner

Title

## ASSIGNMENT

I, Howard B. Sosin of 640 Sasco Hill Road, Fairfield, Connecticut 06430, in consideration of ten dollars and other valuable consideration paid to me by Panacea Pharmaceuticals, LLC, a limited liability company of the State of Delaware, having its principal place of business at 640 Sasco Hill Road, Fairfield, Connecticut 06430, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Panacea Pharmaceuticals, LLC, its successors and assigns, an undivided part interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "*Methods and Reagents for Decreasing Clinical Reaction to Allergy*" described in U.S. Serial No. 09/494,096 filed in the United States Patent and Trademark Office on January 28, 2000, by Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin, Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper, Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre, which claims priority to International Application No. PCT/US99/02031 filed February 29, 1999, and which claims priority to U.S. Provisional Application Serial Nos. (1) 60/074,590 filed February 13, 1998; (2) 60/074,624 filed February 13, 1998; (3) 60/074,633 filed February 13, 1998; (4) 60/073,283 filed January 31, 1998 (via U.S.S.N. 09/240,557, filed January 29, 1999; U.S.S.N. 09/241,101 filed January 29, 1999; and U.S.S.N. 09/248,673 filed February 11, 1999); and (5) 60/122,566 filed March 2, 1999; and which claims priority to U.S. Application Serial Nos. (1) 09/141,220 filed August 27, 1998; (2) 09/240,557 filed January 29, 1999; (3) 09/241,101 filed January 29, 1999; (4) 09/248,673 filed February 11, 1999; (5) 09/267,719 filed March 11, 1999; and (6) 09/248,674 filed February 11, 1999, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And I agree, for myself and my executor and administrator, with said company and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said company, with specifications and claims in such form as shall be approved by the counsel of said company, and to vest and confirm in said company, its successors and assigns, the legal title to all such patents.

Title: "Methods and Reagents for Decreasing Clinical Reaction to Allergy"  
By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre  
Filed: January 28, 2000  
ASSIGNMENT

And I do hereby authorize and request the Commissioner of Patents and  
Trademarks of the United States to issue such Letters Patent as shall be granted upon said  
application or applications based thereon to said company, its successors and assigns.

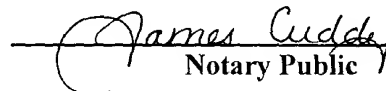
WITNESS my hand and seal this 10th day of July, 2000.



Howard B. Sosin

State of Connecticut )  
County of Fairfield ) ss. Westport

Then personally appeared the above named Howard B. Sosin and acknowledged the  
foregoing instrument to be his free act and deed, before me this 10<sup>TH</sup> day of  
July, 2000.

  
Notary Public

My Commission expires: 6/30/01

# DECLARATION FOR PATENT APPLICATION



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY

the specification of which (check one)

☐ is attached hereto  
☒ was filed on January 28, 2000  
 as application Serial No. 09/494,096  
☐ and was amended on: \_\_\_\_\_  
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
<u>PCT/US99/02031</u> (Number)	<u>PCT</u> (Country)	<u>29/01/1999</u> (Day/Month/Year Filed)	<u>Yes</u>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
<u>60/074,590</u> (Number)	<u>February 13, 1998</u> (Day/Month/Year Filed)
<u>60/074,624</u> (Number)	<u>February 13, 1998</u> (Day/Month/Year Filed)
<u>60/074,633</u> (Number)	<u>February 13, 1998</u> (Day/Month/Year Filed)
<u>60/073,283</u> (Number)	<u>January 31, 1998</u> (Day/Month/Year Filed)
<u>60/122,566</u> (Number)	<u>March 2, 1999</u>

(via 09/240,557, filed January 29, 1999  
09/241,101, filed January 29, 1999  
09/248,673, filed February 11, 1999)

U.S.S.N. 09/494,096

*"METHODS AND REAGENTS FOR  
DECREASING CLINICAL REACTIONS TO ALLERGY"*

By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/141,220</u> (Application Serial No.)	<u>August 27, 1998</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/240,557</u> (Application Serial No.)	<u>January 29, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/241,101</u> (Application Serial No.)	<u>January 29, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/248,673</u> (Application Serial No.)	<u>February 11, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/267,719</u> (Application Serial No.)	<u>March 11, 1999</u> (Filing Date)	<u>Pending</u> Status
<u>09/248,674</u> (Application Serial No.)	<u>February 11, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
Robert A. Hodges	41,074
Kevin W. King	42,737

Send Correspondence to: Patrea L. Pabst, Esq.  
ARNALL GOLDEN & GREGORY LLP  
2800 One Atlantic Center  
1201 W. Peachtree Street  
Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404) 873-8794

U.S.S.N. 09/494,096

**"METHODS AND REAGENTS FOR  
DECREASING CLINICAL REACTIONS TO ALLERGY"**

By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Gary A. Bannon

Inventor's signature *Gary A. Bannon* Date 03-21-00

Residence 714 St. Michael Place, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 714 St. Michael Place, Little Rock, Arkansas 72211

Full name of second inventor A. Wesley Burks Jr.

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 2400 North Pierce, Little Rock, Arkansas 72207

Citizenship US

Post Office Address 2400 North Pierce, Little Rock, Arkansas 72207

Full name of third inventor Hugh A. Sampson

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 19 Carleon Avenue, Larchmont, New York 10538

Citizenship US

Post Office Address 19 Carleon Avenue, Larchmont, New York 10538

Full name of fourth inventor Howard B. Sosin

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 640 Sasco Hill Road, Fairfield, Connecticut 06430

Citizenship US

Post Office Address 640 Sasco Hill Road, Fairfield, Connecticut 06430

Full name of fifth inventor Nina E. King

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 7127 Oak Post Lane #100, Cincinnati, Ohio 45241

Citizenship RU

Post Office Address 7127 Oak Post Lane #100, Cincinnati, Ohio 45241

U.S.S.N. 09/494,096

**"METHODS AND REAGENTS FOR  
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Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

Full name of sixth inventor Soheila J. Maleki

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 612 Parkway Place, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 612 Parkway Place, Little Rock, Arkansas 72211

Full name of seventh inventor Cathie Connaughton

Inventor's signature Cathie Connaughton Date 3-27-00

Residence 505 West Ridge Drive, Conway, Arkansas 72032

Citizenship US

Post Office Address 505 West Ridge Drive, Conway, Arkansas 72032

Full name of eighth inventor Randall A. Kopper

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 2 Brier Springs, Conway, Arkansas 72032

Citizenship US

Post Office Address 2 Brier Springs, Conway, Arkansas 72032

Full name of ninth inventor Patrick A. Rabjohn

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Full name of tenth inventor David S. Shin

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 2825 Clairmont Court, San Diego, California 92117

Citizenship US

Post Office Address 2825 Clairmont Court, San Diego, California 92117



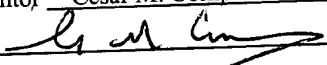
U.S.S.N. 09/494,096

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Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

Full name of eleventh inventor Cesar M. Compadre Date 3/24/00  
Inventor's signature   
Residence 7215 Gable Street, Little Rock, Arkansas 72205  
Citizenship US  
Post Office Address 7215 Gable Street, Little Rock, Arkansas 72205

DECLARATION FOR PATENT APPLICATION



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY  
the specification of which (check one)

\_\_\_\_\_ is attached hereto

X was filed on January 28, 2000

as application Serial No. 09/494,096

\_\_\_\_\_ and was amended on: \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>
<u>PCT/US99/02031</u> (Number)	<u>PCT</u> (Country)	<u>29/01/1999</u> (Day/Month/Year Filed)	<u>Yes</u>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

<u>Provisional Application Number</u>	<u>Filing Date</u>
<u>60/074,590</u> (Number)	<u>February 13, 1998</u> (Day/Month/Year Filed)
<u>60/074,624</u> (Number)	<u>February 13, 1998</u> (Day/Month/Year Filed)
<u>60/074,633</u> (Number)	<u>February 13, 1998</u> (Day/Month/Year Filed)
<u>60/073,283</u> (Number)	<u>January 31, 1998</u> (Day/Month/Year Filed)
	(via 09/240,557, filed January 29, 1999 09/241,101, filed January 29, 1999 09/248,673, filed February 11, 1999)
<u>60/122,566</u> (Number)	<u>March 2, 1999</u> (Day/Month/Year Filed)

U.S.S.N. 09/494,096

"METHODS AND REAGENTS FOR

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Filed: January 28, 2000

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<u>09/267,719</u> (Application Serial No.)	<u>March 11, 1999</u> (Filing Date)	<u>Pending</u> Status
<u>09/248,674</u> (Application Serial No.)	<u>February 11, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)

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Patrea L. Pabst	31,284
Robert A. Hodges	41,074
Kevin W. King	42,737

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ARNALL GOLDEN & GREGORY LLP  
2800 One Atlantic Center  
1201 W. Peachtree Street  
Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404) 873-8794

"METHODS AND REAGENTS FOR  
DECREASING CLINICAL REACTIONS TO ALLERGY"

By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Gary A. Bannon

Date \_\_\_\_\_

Inventor's signature \_\_\_\_\_

Residence 714 St. Michael Place, Little Rock, Arkansas 72211Citizenship USPost Office Address 714 St. Michael Place, Little Rock, Arkansas 72211Full name of second inventor A. Wesley Burks Jr.

Date \_\_\_\_\_

Inventor's signature \_\_\_\_\_

Residence 2400 North Pierce, Little Rock, Arkansas 72207Citizenship USPost Office Address 2400 North Pierce, Little Rock, Arkansas 72207Full name of third inventor Hugh A. Sampson

Date \_\_\_\_\_

Inventor's signature \_\_\_\_\_

Residence 19 Carleon Avenue, Larchmont, New York 10538Citizenship USPost Office Address 19 Carleon Avenue, Larchmont, New York 10538Full name of fourth inventor Howard B. Sosin

Date \_\_\_\_\_

Inventor's signature \_\_\_\_\_

Residence 640 Sasco Hill Road, Fairfield, Connecticut 06430Citizenship USPost Office Address 640 Sasco Hill Road, Fairfield, Connecticut 06430Full name of fifth inventor Nina E. KingDate 3/17/2000Inventor's signature Nina KingResidence 7127 Oak Post Lane #100, Cincinnati, Ohio 45241Citizenship RUPost Office Address 7127 Oak Post Lane #100, Cincinnati, Ohio 45241

U.S.S.N. 09/494,096

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Filed: January 28, 2000

**DECLARATION**

Full name of sixth inventor Soheila J. Maleki

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 612 Parkway Place, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 612 Parkway Place, Little Rock, Arkansas 72211

Full name of seventh inventor Cathie Connaughton

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 505 West Ridge Drive, Conway, Arkansas 72032

Citizenship US

Post Office Address 505 West Ridge Drive, Conway, Arkansas 72032

Full name of eighth inventor Randall A. Kopper

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 2 Brier Springs, Conway, Arkansas 72032

Citizenship US

Post Office Address 2 Brier Springs, Conway, Arkansas 72032

Full name of ninth inventor Patrick A. Rabjohn

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Full name of tenth inventor David S. Shin

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 10677 Granby Way, San Diego, California 92037

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Filed: January 28, 2000

**DECLARATION**

Full name of eleventh inventor Cesar M. Compadre

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 7215 Gable Street, Little Rock, Arkansas 72205

Citizenship US

Post Office Address 7215 Gable Street, Little Rock, Arkansas 72205

# DECLARATION FOR PATENT APPLICATION



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## METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY

the specification of which (check one)

\_\_\_\_\_ is attached hereto

X was filed on January 28, 2000

as application Serial No. 09/494,096

\_\_\_\_\_ and was amended on: \_\_\_\_\_

(if applicable)

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Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 714 St. Michael Place, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 714 St. Michael Place, Little Rock, Arkansas 72211

Full name of second inventor A. Wesley Burks Jr.

Inventor's signature A. Wesley Burks Jr. Date 3-10-00

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Citizenship US

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Full name of third inventor Hugh A. Sampson

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Post Office Address 19 Carleon Avenue, Larchmont, New York 10538

Full name of fourth inventor Howard B. Sosin

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of fifth inventor Nina E. King

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Filed: January 28, 2000

**DECLARATION**

Full name of sixth inventor Soheila J. Maleki

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 612 Parkway Place, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 612 Parkway Place, Little Rock, Arkansas 72211

Full name of seventh inventor Cathie Connaughton

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 505 West Ridge Drive, Conway, Arkansas 72032

Citizenship US

Post Office Address 505 West Ridge Drive, Conway, Arkansas 72032

Full name of eighth inventor Randall A. Kopper

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of ninth inventor Patrick A. Rabjohn

Inventor's signature  Date 3/24/00

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Citizenship US

Post Office Address 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Full name of tenth inventor David S. Shin

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 2825 Clairmont Court, San Diego, California 92117

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Filed: January 28, 2000

**DECLARATION**

Full name of eleventh inventor Cesar M. Compadre \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence 7215 Gable Street, Little Rock, Arkansas 72205 \_\_\_\_\_  
Citizenship US \_\_\_\_\_  
Post Office Address 7215 Gable Street, Little Rock, Arkansas 72205 \_\_\_\_\_

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Full name of second inventor A. Wesley Burks Jr.

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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**DECLARATION**

Full name of sixth inventor Soheila J. Maleki

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 612 Parkway Place, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 612 Parkway Place, Little Rock, Arkansas 72211

Full name of seventh inventor Cathie Connaughton

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of eighth inventor Randall A. Kopper

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Full name of ninth inventor Patrick A. Rabjohn

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Citizenship US

Post Office Address 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Full name of tenth inventor David S. Shin

Inventor's signature [Signature] Date March 27, 2000

Residence ~~2825 Clairmont Court, San Diego, California 92117~~ 10677 Granby Way, San Diego, CA 92126

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Full name of eleventh inventor Cesar M. Compadre

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Patrea L. Pabst	31,284
Robert A. Hodges	41,074
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Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404) 873-8794

U.S.S.N. 09/494,096

"METHODS AND REAGENTS FOR

DECREASING CLINICAL REACTIONS TO ALLERGY"

By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,

Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,

Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Gary A. Bannon

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 714 St. Michael Place, Little Rock, Arkansas 72211

Citizenship US

Post Office Address 714 St. Michael Place, Little Rock, Arkansas 72211

Full name of second inventor A. Wesley Burks Jr.

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Citizenship US

Post Office Address 2400 North Pierce, Little Rock, Arkansas 72207

Full name of third inventor Hugh A. Sampson

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 19 Carleon Avenue, Larchmont, New York 10538

Citizenship US

Post Office Address 19 Carleon Avenue, Larchmont, New York 10538

Full name of fourth inventor Howard B. Sosin

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Citizenship US

Post Office Address 640 Sasco Hill Road, Fairfield, Connecticut 06430

Full name of fifth inventor Nina E. King

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

Full name of sixth inventor Soheila J. Maleki Date 3/29/00  
Inventor's signature Soheila J. Maleki  
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Citizenship US  
Post Office Address 612 Parkway Place, Little Rock, Arkansas 72211

Full name of seventh inventor Cathie Connaughton Date \_\_\_\_\_  
Inventor's signature \_\_\_\_\_  
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Citizenship US  
Post Office Address 505 West Ridge Drive, Conway, Arkansas 72032

Full name of eighth inventor Randall A. Kopper Date \_\_\_\_\_  
Inventor's signature \_\_\_\_\_  
Residence 2 Brier Springs, Conway, Arkansas 72032  
Citizenship US  
Post Office Address 2 Brier Springs, Conway, Arkansas 72032

Full name of ninth inventor Patrick A. Rabjohn Date \_\_\_\_\_  
Inventor's signature \_\_\_\_\_  
Residence 11524 Hickory Hill Road, Little Rock, Arkansas 72211  
Citizenship US  
Post Office Address 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Full name of tenth inventor David S. Shin Date \_\_\_\_\_  
Inventor's signature \_\_\_\_\_  
Residence 2825 Clairmont Court, San Diego, California 92117  
Citizenship US  
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Filed: January 28, 2000

**DECLARATION**

Full name of eleventh inventor Cesar M. Compadre

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 7215 Gable Street, Little Rock, Arkansas 72205

Citizenship US

Post Office Address 7215 Gable Street, Little Rock, Arkansas 72205

# DECLARATION FOR PATENT APPLICATION



As a below named inventor, I hereby declare that:

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## METHODS AND REAGENTS FOR DECREASING CLINICAL REACTION TO ALLERGY

the specification of which (check one)

☐ is attached hereto

☒ was filed on January 28, 2000

as application Serial No. 09/494,096

☐ and was amended on: \_\_\_\_\_

(if applicable)

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Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of second inventor A. Wesley Burks Jr.

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of third inventor Hugh A. Sampson

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of fourth inventor Howard B. Sosin

Inventor's signature HB Sosin Date July 10, 2000

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Citizenship US

Post Office Address 640 Sasco Hill Road, Fairfield, Connecticut 06430

Full name of fifth inventor Nina E. King

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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**DECLARATION**

Full name of sixth inventor Soheila J. Maleki

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 612 Parkway Place, Little Rock, Arkansas 72211

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Full name of seventh inventor Cathie Connaughton

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Citizenship US

Post Office Address 505 West Ridge Drive, Conway, Arkansas 72032

Full name of eighth inventor Randall A. Kopper

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Post Office Address 2 Brier Springs, Conway, Arkansas 72032

Full name of ninth inventor Patrick A. Rabjohn

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Citizenship US

Post Office Address 11524 Hickory Hill Road, Little Rock, Arkansas 72211

Full name of tenth inventor David S. Shin

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of second inventor A. Wesley Burks Jr.

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Full name of third inventor Hugh A. Sampson

Inventor's signature Hugh A. Sampson Date 6/7/00

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Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Filed: January 28, 2000

**DECLARATION**

Full name of sixth inventor Soheila J. Maleki

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 612 Parkway Place, Little Rock, Arkansas 72211

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Post Office Address 612 Parkway Place, Little Rock, Arkansas 72211

Full name of seventh inventor Cathie Connaughton

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 505 West Ridge Drive, Conway, Arkansas 72032

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Full name of eighth inventor Randall A. Kopper

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of ninth inventor Patrick A. Rabjohn

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of eleventh inventor Cesar M. Compadre

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Filed: January 28, 2000

**DECLARATION**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/141,220</u> (Application Serial No.)	<u>August 27, 1998</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/240,557</u> (Application Serial No.)	<u>January 29, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/241,101</u> (Application Serial No.)	<u>January 29, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/248,673</u> (Application Serial No.)	<u>February 11, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)
<u>09/267,719</u> (Application Serial No.)	<u>March 11, 1999</u> (Filing Date)	<u>Pending</u> Status
<u>09/248,674</u> (Application Serial No.)	<u>February 11, 1999</u> (Filing Date)	<u>Pending</u> Status (patented, pending, abandoned)

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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U.S.S.N. 09/494,096

*"METHODS AND REAGENTS FOR  
DECREASING CLINICAL REACTIONS TO ALLERGY"*

By: Gary A. Bannon, A. Wesley Burks, Jr., Hugh A. Sampson, Howard B. Sosin,  
Nina E. King, Soheila J. Maleki, Cathie Connaughton, Randall A. Kopper,  
Patrick A. Rabjohn, David S. Shin, and Cesar M. Compadre

Filed: January 28, 2000

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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